9-27-04

Under the Paperwork Reduction Act of 1995, no perso	ns are required	Patent and Tradema	ark Offic	use through 07/31/2006. OMB 0651-0031 be: U.S. DEPARTMENT OF COMMERCE is it displays a valid OMB control number.
TRANSMITTAL	Application Number	10/719,540 11/20/2003 Ron L. Hale		
FORM	First Named Inventor			
(to be used for all correspondence after initial	l filing)	Art Unit	Not yet known	
		Examiner Name	Tol	be assigned
Total Number of Pages in This Submission		Attorney Docket Number	000	63.01R
	ENCLOS	JRES (check all that apply)		
Response to Missing Parts/ Incomplete Application Incomplete Application	Petition to Provision Power of Change of Chang	p-related Papers o Convert a lal Application Attorney, Revocation of Correspondence Disclaimer for Refund other of CD(s) pies, 2 pages each)		After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):
Response to Missing Parts under 37 CFR 1.52 or 1.53	y of 17 refer			
	t Meadow C	ircle, Palo Alto, CA 94303 phone (650)-687-3905		Customer Number 37485
Signature Sain	Sa	de		

Date	SEP. 2 4 2004			
	CERTIFICATE OF TRANSI	MISSION/MAILING		\equiv
I hereby certify that to sufficient postage as	his correspondence is being facsimile transmitted to the Usifirst class mail in an envelope addressed to: Commission	ISPTO or deposited with the for Patents, P.O. Box	the United States Postal Service 1450, Alexandria,VA 22313-1450	with) on the
Typed or printed nar	me Elaine C. Stracker			
Signature	Men Sura	Date	SEP. 2 4 2014	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS PAPER AND THE DOCUMENTS REFERRED AS BEING ATTACHED OR ENCLOSED HEREWITH ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL OFFICE ON SEP 2 4 2004 AS EXPRESS MAIL ADDRESSED TO: MAIL STOP AMENDMENT COMMISSIONER FOR

PATENTS, BO BOX 1450, ALEXANDRIA, VA 22313-1450

Elaine C. Stracker

PATENT

Attorney's Docket No.: 00063.01R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hale et al.

Serial No .:

10/719,540

Filed:

11/20/2003

Title

Method for Treating Pain with Loxapine and Amoxapine

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with their continuing duty of disclosure under 37 CFR §§ §1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines than an extension and/or other relief is required, Applicants petition for any required relief including any extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 502731</u>. Please direct all correspondence to the following customer number.

Respectfully submitted,

Date:

SEP. 24 2004

Elaine C. Stracker J.D., Ph.D., Reg. 43,166

Alexza Molecular Delivery Corporation 1001 East Meadow Circle Palo Alto, CA 94303

TEL: (650) 687-3905 FAX: (650) 687-3999

Form PT	0 144	<u> </u>		Docket Number: 00063.011	₹	Application Nur	Sheet 1 of nber: 10/719,540
Form PTO-1449 INFORMATION DISCLOSURE CITATION			Applicant:				
OTP	•	IN AN APPLI			Hale e	t al.	
Ü	\ \chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi}}\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi\ti}}\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi}\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi}\}}}}}}}}}}}}}}}}}}}}\rightgright\right\}}}}}}}}}}}}}} \rakintingtimes \chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi\ti}}\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi\ti}}\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi_{\chi\ti}}\chi_{\chi_{\chi_{\chi_{\chi_{\chi}\ti}}\chi_{\chi_{\chi_{\chi}\chi_{\chi_{\chi}\chi_{\chi_{\chi}\chi_{\chi_{\chi}\chi_{\chi}\chi_{\chi_{\chi_{\chi}\chi_{\chi_{\chi_{\chi_{\chi}\ti}\chi_{\chi_{\chi}\ti}\ti}\chi_{\chi}\chi_{\chi}\ti}\chi_{\chi}\ti}\chi\ti}\chi_{\chi}\ti}\chi_{\chi_{\chi}\ti}\ti}\chi_{\chi}\ti}\chi\ti}\chi_{\chi}\ti}\chi\ti}\chi\ti\ti\ti\ti\ti}\tii\ti\ti\ti\ti\t	(Use several sheets		Filing Date: 11/20/2003	Filing Date: 11/20/2003 Group		
SEP 2 4 2	2004						
S Townson	50%. 50%.						
			U.S. PATE	ENT DOCUMENTS			
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date I Appropriate
	1.	05/04/1999	5,900,249	Smith			
	2.	07/27/1999	5,929,070	Shannon et al.			
	3.	08/31/1999	5,945,416	Shannon et al.			
	4.	04/03/2001	6,211,171	Sawynok et al.			
	5.	07/10/2001	6,258,807	Helton et al.			
	6.	09/18/2001	6,290,986	Murdock et al.			
	7.	09/03/2002	6,444,665	Helton et al.			
	8.	11/12/2002	6,479,074	Murdock et al.			
9. 10/28/2003 6,638,981		Williams et al.					
	DELA	TED ALEVZ	A MOLECULAD DEL	IVERY CORPORATION	NI DATEN	T DOCI IX	/ENTS
	10.	11/20/2003	US 2004/010148	Hale et al.	NIAILI	Docon	ALIVIO
	15	cl p.		ATENT DOCUMENTS	Class	Cubalasa	Translation
Examine Initials			Document No.	Country	Class	Subclass	Translation YES NO
	<u>·</u>						
		<u>L</u> .					
			THER DOCUMENTS	(including author, title,	Date, Pertinent	Pages, Etc.)	
		O'	THER DOCUMENTS	(including damor, time,	*		

conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

	Sheet 2 of 2
Docket Number: 00063.01R	Application Number: 10/719,540
Applicant:	
	Hale et al.
Filing Date: 11/20/2003	Group Art Unit: Net Yet Known

Ref. No.	Title
11.	McGee et al. (1979) "Phenotiazine Analgesia – Fact or Fantasy?" American Journal of Hospital Pharmacy Vol. 36: 633-640.
12.	Magnusson et al. (2000) "The Involvement of Dopamine in Nociception: the role of D1 and D2 Receptors in the Dorsolateral Striatum." Brain Research Vol. 855: 260-266.
13.	Schreiber et al. (1999) "The Atypical Neuroleptics Clozapine and Olanzapine Differ Regarding Their Antinociceptive Mechanisms and Potency" <i>Pharmacology Biochemistry and Behavior</i> Vol. 64 No. 1: 75-80.
14.	Hamon, et al. (1987) "Opioid Receptors and Neuropeptides in the CNS in Rats Treated Chronically with Amoxapine and Amitriptyline" <i>Neuropharmacology</i> Vol. 26 No. 6: 531-539.
15.	Pfeiffer, Ronald (1982) "Drugs for pain in the elderly" Geriatrics Vol. 37 No. 2: 67-76.
16.	Gleeson, et al. (1982) "Chlorpromazine Hyperalgesia Antagonizes Clonidine Analgesia, but Enhances Morphine Analgesia in Rats Tested in a Hot-Water Tail-Flick Paradigm" <i>Psychopharmacology</i> Vol. 78:141-146.
17.	Lynch, Mary E. (2001) "Antidepressants as analgesics: a review of randomized controlled trials" J. Psychiatry Neuroscience Vol. 26: 30-36.
	No. 11. 12. 13. 14. 15. 16.

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.